Appendices

Appendix A Exercises

Explanations of the different types of exercises can be found in the Preface. In summary, the objective is to provide four types of exercises:

| Understanding | These exercises aim at highlighting the most important issues from each chapter. Exercises are available in Chaps. 1–11. |
|---------------|---|
| Training | The objective of these exercises is to encourage practicing exper- imentation. This includes setting up hypotheses and performing |
| Reviewing | Chapters 12 and 13 include examples of experiments. The in- tention of this part is to provide help in reviewing and reading published experiments. |
| Assignments | These exercises are formulated to promote an understanding of how experiments can be used in software engineering to evaluate methods and techniques. |

The understanding type questions can be found at the end of each chapter, while the three other types of exercises can be found in this appendix.

A.1 Training

The exercises are preferably solved either using a statistical program package or tables from books in statistics. The tables in Appendix B may be used, but the tables provided are only for the 5% significance level, so if other significance levels are used then other sources must be used. It should be remembered that Appendix B has primarily been provided to explain the examples in Chap. 10.

A.1.1 Normally Distributed Data

The probably most complicated example of the statistical methods in Chap. 10 is the goodness of fit test for the normal distribution, see Sect. 10.2.12. Thus, it is appropriate to ensure a good understanding of that test.

1. Carry out the goodness of fit test, on the same data, see Table 10.20, using 12 segments instead.

A.1.2 Experience

In Chap. 12, the outcome of the Personal Software Process course is compared with the background of the students taking the course. The analysis conducted in Chap. 12 is only partial. The full data set is provided in Tables A.2 and A.3. In Table A.1, the survey material handed out at the first lecture is presented. The outcome of the survey is presented in Table A.2. The outcome of the PSP course is presented in Table A.3, where the following seven measures have been used to measure the outcome of the course:

| Size | The number of new and changed lines of code for the ten programs. |
|-------------|---|
| Time | The total development time for the ten programs. |
| Prod. | The productivity measured as number of lines of code per develop- |
| | ment hour. |
| Faults | The number of faults logged for the ten programs. This includes all |
| | faults found, for example, including compilation faults. |
| Faults/KLOC | The number of faults for each 1,000 lines of code. |
| Pred. Size | The absolute relative error in predicting program size. The figures |
| | show the error in absolute percentages, for example, both over- |
| | and underestimates with 20% are shown as 20% without any sign |
| | indicating the direction of the estimation error. |
| Pred. Time | The absolute relative error in predicting the development time. |

Based on the presentation in Chap. 12 and the data in Tables A.2 and A.3 answer the following questions.

- 1. How can the survey be improved? Think about what constitutes good measures of background, experience and ability.
- Define hypotheses, additional to those in Chap. 12, based on the available data. Motivate why these hypotheses are interesting.
- 3. What type of sampling has been used?
- 4. Analyze the hypotheses you have stated. What are the results?
- 5. Discuss the external validity of your findings. Can the results be generalized outside the PSP? Can the results be generalized to industrial software engineers?

| Area | Description | Answer |
|--|---|--------|
| Study program (denoted Line) | Answer: Computer Science and Engineering or Electrical Engineering | |
| General knowledge in computer science and software engineering (denoted SE) | Little, but curious about the new course Not my speciality (focus on other subjects) Rather good, but not my main focus (one of a couple of areas) Main focus of my studies | |
| General knowledge in programming (denoted Prog.) | Only 1–2 courses 3 or more courses, no industrial experience A few courses and some industrial experience More than three courses and more than 1 year industrial experience | |
| Knowledge about the PSP (denoted PSP) | What is it? I have heard about it A general understanding of what it is I have read some material | |
| Knowledge in C (denoted C) | No prior knowledge Read a book or followed a course Some industrial experience (less than 6 months) Industrial experience | |
| Knowledge in C++ (denoted C++) | No prior knowledge Read a book or followed a course Some industrial experience (less than 6 months) Industrial experience | |
| Number of courses (denoted Courses) | A list of courses was provided and the students were asked to put down a yes or no whether they had taken the course or not. Moreover, they were asked to complement the list of courses if they had read something else they thought was a particularly relevant course | |

 Table A.1
 Student characterization

A.1.3 Programming

In an experiment, 20 programmers have developed the same program, where 10 of them have used programming language A and 10 have used language B. Language A is newer and the company is planning to change to language A if it is better than language B. During the development, the size of the program, the development time, the total number of removed defects and the number of defects removed in test have been measured.

A Exercises

| Subject | Line | SE | Prog. | PSP | С | C++ | Courses |
|---------|------|----|-------|-----|---|-----|---------|
| 1 | 1 | 2 | 1 | 2 | 1 | 1 | 2 |
| 2 | 1 | 3 | 2 | 1 | 2 | 1 | 4 |
| 3 | 2 | 3 | 2 | 2 | 2 | 2 | 7 |
| 4 | 1 | 3 | 2 | 3 | 2 | 1 | 3 |
| 5 | 1 | 3 | 2 | 3 | 2 | 1 | 5 |
| 6 | 2 | 4 | 3 | 2 | 1 | 1 | 7 |
| 7 | 2 | 3 | 2 | 2 | 1 | 2 | 7 |
| 8 | 1 | 3 | 2 | 2 | 1 | 1 | 4 |
| 9 | 2 | 4 | 3 | 2 | 1 | 1 | 9 |
| 10 | 2 | 4 | 2 | 1 | 1 | 1 | 7 |
| 11 | 1 | 2 | 2 | 1 | 2 | 1 | 3 |
| 12 | 2 | 4 | 3 | 2 | 1 | 1 | 9 |
| 13 | 2 | 4 | 3 | 2 | 3 | 3 | 8 |
| 14 | 2 | 3 | 2 | 2 | 1 | 1 | 6 |
| 15 | 1 | 3 | 2 | 2 | 1 | 1 | 5 |
| 16 | 2 | 4 | 2 | 1 | 1 | 1 | 10 |
| 17 | 1 | 3 | 3 | 1 | 1 | 1 | 5 |
| 18 | 2 | 4 | 3 | 2 | 1 | 3 | 6 |
| 19 | 2 | 4 | 3 | 3 | 3 | 3 | 8 |
| 20 | 1 | 1 | 1 | 1 | 1 | 1 | 2 |
| 21 | 2 | 3 | 3 | 2 | 2 | 2 | 10 |
| 22 | 2 | 3 | 2 | 3 | 1 | 1 | 5 |
| 23 | 1 | 3 | 2 | 2 | 1 | 1 | 4 |
| 24 | 1 | 2 | 1 | 1 | 1 | 1 | 3 |
| 25 | 2 | 4 | 3 | 1 | 2 | 2 | 7 |
| 26 | 1 | 3 | 2 | 2 | 1 | 1 | 5 |
| 27 | 2 | 4 | 3 | 2 | 3 | 2 | 7 |
| 28 | 1 | 3 | 2 | 3 | 1 | 1 | 2 |
| 29 | 2 | 4 | 2 | 3 | 1 | 1 | 7 |
| 30 | 2 | 3 | 3 | 1 | 2 | 3 | 6 |
| 31 | 1 | 3 | 2 | 2 | 2 | 2 | 5 |
| 32 | 2 | 3 | 3 | 1 | 2 | 2 | 10 |
| 33 | 2 | 4 | 3 | 1 | 1 | 1 | 5 |
| 34 | 1 | 2 | 2 | 1 | 2 | 2 | 3 |
| 35 | 1 | 2 | 1 | 1 | 1 | 1 | 2 |
| 36 | 1 | 2 | 1 | 2 | 1 | 1 | 2 |
| 37 | 1 | 2 | 2 | 2 | 2 | 2 | 2 |
| 38 | 2 | 4 | 2 | 2 | 2 | 1 | 6 |
| 39 | 1 | 2 | 1 | 2 | 1 | 1 | 2 |
| 40 | 2 | 4 | 3 | 1 | 4 | 4 | 7 |
| 41 | 2 | 3 | 3 | 2 | 2 | 2 | 8 |
| 41 | 2 | 4 | 3 | 2 | 2 | 2 | 9 |

 Table A.2
 Information from background survey

(continued)

| Subject | Line | SE | Prog. | PSP | С | C++ | Courses |
|---------|------|----|-------|-----|---|-----|---------|
| 43 | 1 | 3 | 2 | 1 | 1 | 1 | 3 |
| 44 | 1 | 4 | 3 | 2 | 3 | 2 | 7 |
| 45 | 2 | 4 | 2 | 2 | 2 | 1 | 6 |
| 46 | 2 | 2 | 4 | 2 | 4 | 4 | 7 |
| 47 | 2 | 4 | 3 | 2 | 3 | 2 | 7 |
| 48 | 1 | 2 | 2 | 2 | 1 | 1 | 2 |
| 49 | 1 | 3 | 3 | 1 | 1 | 1 | 3 |
| 50 | 2 | 3 | 2 | 3 | 1 | 1 | 8 |
| 51 | 2 | 4 | 2 | 4 | 2 | 2 | 8 |
| 52 | 2 | 4 | 3 | 3 | 3 | 2 | 8 |
| 53 | 2 | 4 | 3 | 3 | 2 | 2 | 10 |
| 54 | 1 | 2 | 1 | 2 | 1 | 1 | 2 |
| 55 | 1 | 2 | 2 | 2 | 1 | 1 | 4 |
| 56 | 2 | 3 | 2 | 1 | 1 | 1 | 8 |
| 57 | 1 | 2 | 3 | 1 | 1 | 1 | 4 |
| 58 | 2 | 4 | 3 | 3 | 1 | 1 | 6 |
| 59 | 1 | 2 | 2 | 2 | 2 | 1 | 4 |

Table A.2 (continued)

The programmers have been randomly assigned a programming language and the objective of the experiment is to evaluate if the language has any effect on the four measured variables. The collected data can be found in Table A.4. The data is fictitious.

- 1. Which design has been used in the experiment?
- 2. Define the hypotheses for the evaluation.
- 3. Use box plots to investigate the differences between the languages in terms of central tendency and dispersion with respect to all four factors. Is there any outlier and if so should it be removed?
- 4. Assume that parametric tests can be used. Evaluate the effect of the programming language on the four measured variables. Which conclusions can be drawn from the results?
- 5. Evaluate the effect of the programming language on the four measured variables using a non-parametric test. Which conclusions can be drawn from the results? Compare the results to those achieved when using parametric tests.
- 6. Discuss the validity of the results and if it is appropriate to use a parametric test.
- 7. Assume that the participating programmers have chosen the programming language themselves. What consequences does this have on the validity of the results? Do the conclusions still hold?

| Subject | Size | Time | Prod. | Faults | Faults/KLOC | Pred. size | Pred. time |
|---------|-------|-------|-------|--------|-------------|------------|------------|
| 1 | 839 | 3,657 | 13.8 | 53 | 63.2 | 39.7 | 20.2 |
| 2 | 1,249 | 3,799 | 19.7 | 56 | 44.8 | 44.1 | 21.2 |
| 3 | 968 | 1,680 | 34.6 | 71 | 73.3 | 29.1 | 25.1 |
| 4 | 996 | 4,357 | 13.7 | 35 | 35.1 | 24.3 | 18.0 |
| 5 | 794 | 2,011 | 23.7 | 32 | 40.3 | 26.0 | 13.2 |
| 6 | 849 | 2,505 | 20.3 | 26 | 30.6 | 61.1 | 48.2 |
| 7 | 1,455 | 4,017 | 21.7 | 118 | 81.1 | 36.5 | 34.7 |
| 8 | 1,177 | 2,673 | 26.4 | 61 | 51.8 | 34.6 | 32.5 |
| 9 | 747 | 1,552 | 28.9 | 41 | 54.9 | 51.0 | 18.2 |
| 10 | 1,107 | 2,479 | 26.8 | 59 | 53.3 | 22.6 | 14.0 |
| 11 | 729 | 3,449 | 12.7 | 27 | 37.0 | 26.9 | 52.0 |
| 12 | 999 | 3,105 | 19.3 | 63 | 63.1 | 26.0 | 19.8 |
| 13 | 881 | 2,224 | 23.8 | 44 | 49.9 | 47.9 | 39.9 |
| 14 | 730 | 2,395 | 18.3 | 94 | 128.8 | 63.0 | 20.3 |
| 15 | 1,145 | 3,632 | 18.9 | 70 | 61.1 | 33.3 | 34.8 |
| 16 | 1,803 | 3,193 | 33.9 | 98 | 54.4 | 52.9 | 21.8 |
| 17 | 800 | 2,702 | 17.8 | 60 | 75.0 | 34.3 | 26.7 |
| 18 | 1,042 | 2,089 | 29.9 | 64 | 61.4 | 49.3 | 41.5 |
| 19 | 918 | 3,648 | 15.1 | 43 | 46.8 | 49.7 | 71.5 |
| 20 | 1,115 | 6,807 | 9.8 | 26 | 23.3 | 34.1 | 22.4 |
| 21 | 890 | 4,096 | 13.0 | 108 | 121.3 | 19.3 | 34.8 |
| 22 | 1,038 | 3,609 | 17.3 | 98 | 94.4 | 21.4 | 52.0 |
| 23 | 1,251 | 6,925 | 10.8 | 498 | 398.1 | 21.8 | 34.1 |
| 24 | 623 | 4,216 | 8.9 | 53 | 85.1 | 40.5 | 36.3 |
| 25 | 1,319 | 1,864 | 42.5 | 92 | 69.7 | 43.7 | 45.0 |
| 26 | 800 | 4,088 | 11.7 | 74 | 92.5 | 42.6 | 36.2 |
| 27 | 1,267 | 2,553 | 29.8 | 88 | 69.5 | 53.0 | 30.1 |
| 28 | 945 | 1,648 | 34.4 | 42 | 44.4 | 33.3 | 17.9 |
| 29 | 724 | 4,144 | 10.5 | 49 | 67.7 | 32.8 | 17.8 |
| 30 | 1,131 | 2,869 | 23.7 | 102 | 90.2 | 29.2 | 15.5 |
| 31 | 1,021 | 2,235 | 27.4 | 49 | 48.0 | 18.0 | 25.0 |
| 32 | 840 | 3,215 | 15.7 | 69 | 82.1 | 85.6 | 54.0 |
| 33 | 985 | 5,643 | 10.5 | 133 | 135.0 | 27.3 | 31.0 |
| 34 | 590 | 2,678 | 13.2 | 33 | 55.9 | 83.0 | 20.0 |
| 35 | 727 | 4,321 | 10.1 | 48 | 66.0 | 17.0 | 22.7 |
| 36 | 955 | 3,836 | 14.9 | 76 | 79.6 | 33.3 | 36.8 |
| 37 | 803 | 4,470 | 10.8 | 56 | 69.7 | 18.2 | 27.7 |
| 38 | 684 | 1,592 | 25.8 | 28 | 40.9 | 35.0 | 34.1 |
| 39 | 913 | 4,188 | 13.1 | 45 | 49.3 | 25.3 | 27.5 |
| 40 | 1,200 | 1,827 | 39.4 | 61 | 50.8 | 31.6 | 20.9 |
| 41 | 894 | 2,777 | 19.3 | 64 | 71.6 | 21.3 | 22.4 |
| 42 | 1,545 | 3,281 | 28.3 | 136 | 88.0 | 35.0 | 16.1 |

 Table A.3
 Outcome from the PSP course

(continued)

| Subject | Size | Time | Prod. | Faults | Faults/KLOC | Pred. size | Pred. time |
|---------|-------|-------|-------|--------|-------------|------------|------------|
| 43 | 995 | 2,806 | 21.3 | 71 | 71.4 | 15.6 | 38.3 |
| 44 | 807 | 2,464 | 19.7 | 65 | 80.5 | 43.3 | 26.4 |
| 45 | 1,078 | 2,462 | 26.3 | 55 | 51.0 | 49.1 | 51.6 |
| 46 | 944 | 3,154 | 18.0 | 71 | 75.2 | 59.0 | 39.2 |
| 47 | 868 | 1,564 | 33.3 | 50 | 57.6 | 50.4 | 45.2 |
| 48 | 701 | 3,188 | 13.2 | 31 | 44.2 | 21.2 | 49.7 |
| 49 | 1,107 | 4,823 | 13.8 | 86 | 77.7 | 19.3 | 28.4 |
| 50 | 1,535 | 2,938 | 31.3 | 71 | 46.3 | 29.6 | 20.7 |
| 51 | 858 | 7,163 | 7.2 | 97 | 113.1 | 58.4 | 32.9 |
| 52 | 832 | 2,033 | 24.6 | 84 | 101.0 | 48.4 | 25.6 |
| 53 | 975 | 3,160 | 18.5 | 115 | 117.9 | 29.5 | 31.5 |
| 54 | 715 | 3,337 | 12.9 | 40 | 55.9 | 41.7 | 26.6 |
| 55 | 947 | 4,583 | 12.4 | 99 | 104.5 | 41.0 | 22.3 |
| 56 | 926 | 2,924 | 19.0 | 77 | 83.2 | 32.5 | 34.7 |
| 57 | 711 | 3,053 | 14.0 | 78 | 109.7 | 22.8 | 14.3 |
| 58 | 1,283 | 7,063 | 10.9 | 186 | 145.0 | 46.5 | 26.6 |
| 59 | 1,261 | 3,092 | 24.5 | 54 | 42.8 | 27.4 | 45.3 |

Table A.3 (continued)

A.1.4 Design

This exercise is based on data obtained from an experiment carried out by Briand, Bunse and Daly. The experiment is further described by Briand et al. [28].

An experiment is designed in order to evaluate the impact of quality objectoriented design principles when intending to modify a given design. The quality design principles evaluated are the principles provided by Coad and Yourdon [35]. In the experiment two systems are used with one design for each system. One of the designs is a 'good' design made using the design principles and the other is a 'bad' design not using the principles. The two designs are documented in the same way in terms of layout and content and are of the same size, i.e. they are developed to be as similar as possible except for following or not following the design principles. The objective of the experiment is to evaluate if the quality design principles ease impact analysis when identifying changes in the design.

The task for each participant is to undertake two separate impact analyses, one for each system design. Marking all places in the design that have to be changed but not actually change them makes the impact analyses. The first impact analysis is for a changed customer requirement and the second is for an enhancement in the systems functionality. Four measures are collected during the task:

Mod_Time:Time spent on identifying places for modification.Mod_Comp:Represents the completeness of the impact analysis and is defined
as:

| Programming | Program size | Development | Total number of | Number of test |
|-------------|--------------|-------------|-----------------|----------------|
| language | (LOC) | time (min) | defects | defects |
| А | 1,408 | 3,949 | 89 | 23 |
| А | 1,529 | 2,061 | 69 | 16 |
| А | 946 | 3,869 | 170 | 41 |
| А | 1,141 | 5,562 | 271 | 55 |
| А | 696 | 5,028 | 103 | 39 |
| А | 775 | 2,296 | 75 | 29 |
| А | 1,205 | 2,980 | 79 | 11 |
| А | 1,159 | 2,991 | 194 | 28 |
| А | 862 | 2,701 | 67 | 27 |
| А | 1,206 | 2,592 | 77 | 15 |
| В | 1,316 | 3,986 | 68 | 20 |
| В | 1,787 | 4,477 | 54 | 10 |
| В | 1,105 | 3,789 | 130 | 23 |
| В | 1, 583 | 4,371 | 48 | 13 |
| В | 1, 381 | 3,325 | 133 | 29 |
| В | 944 | 5,234 | 80 | 25 |
| В | 1,492 | 4,901 | 64 | 21 |
| В | 1,217 | 3,897 | 89 | 29 |
| В | 936 | 3,825 | 57 | 20 |
| В | 1,441 | 4,015 | 79 | 18 |

Table A.4 Data for programming exercise

| | $Mod_Comp = \frac{Number of correct places found}{\frac{N}{2}}$ |
|-----------|--|
| | Total number of places to be found |
| Mod_Corr: | Represents the correctness of the impact analysis and is defined as: |
| | Mod Corr – Number of correct places found |
| | Total number of places indicated as found |
| Mod_Rate: | The number of correct places found per time unit, that is: |
| | Number of connect along formal |

 $Mod_Rate = \frac{Number of correct places found}{Time for identification}$

The experiment is conducted at two occasions, in order to let each participant work with both the good design and the bad design. The subjects were randomly assigned to one of two groups, A or B. Group A worked with the good design at the first occasion and the bad design in the second. Group B studied the bad design first and then the good design. The collected data can be found in Table A.5.

| | | Good | Good object-oriented design | | | Bad object-oriented design | | | |
|-------------|-------|----------|-----------------------------|----------|----------|----------------------------|----------|----------|----------|
| Participant | Group | Mod_Time | Mod_Comp | Mod_Corr | Mod_Rate | Mod_Time | Mod_Comp | Mod_Corr | Mod_Rate |
| P01 | В | - | 0.545 | 0.75 | - | _ | 0.238 | 0.714 | _ |
| P02 | В | _ | 0.818 | 1 | - | _ | 0.095 | 1 | - |
| P03 | А | 20 | 0.409 | 1 | 0.45 | 25 | 0.19 | 1 | 0.16 |
| P04 | В | 22 | 0.818 | 1 | 0.818 | 25 | 0.238 | 1 | 0.2 |
| P05 | В | 30 | 0.909 | 1 | 0.667 | 35 | 0.476 | 0.909 | 0.286 |
| P07 | А | _ | 0 | _ | _ | 38 | 0.476 | 1 | 0.263 |
| P09 | А | - | 0.455 | 1 | - | _ | 0.476 | 1 | - |
| P10 | В | - | 0.409 | 0.9 | - | _ | 0.381 | 1 | - |
| P11 | А | 45 | 0.545 | 0.923 | 0.267 | 50 | 0.714 | 1 | 0.3 |
| P12 | В | - | 0.773 | 1 | - | - | 0.714 | 1 | - |
| P13 | А | 40 | 0.773 | 1 | 0.425 | 40 | 0.762 | 1 | 0.4 |
| P14 | В | 30 | 0.909 | 1 | 0.667 | 30 | 0.333 | 0.875 | 0.233 |
| P15 | В | - | 0.864 | 1 | - | 40 | 0.238 | 1 | 0.125 |
| P16 | В | 30 | 0.773 | 1 | 0.567 | _ | - | - | - |
| P17 | В | - | 0.955 | 1 | - | - | 0.286 | 0.75 | - |
| P18 | В | _ | 0 | _ | _ | _ | 0.19 | 1 | _ |
| P19 | А | 29 | 0.818 | 1 | 0.621 | 27 | 0.667 | 1 | 0.519 |
| P20 | А | 9 | 0.591 | 1 | 1.444 | 15 | 0.19 | 0.8 | 0.267 |
| P21 | В | 20 | 0.591 | 1 | 0.65 | 35 | 0.19 | 1 | 0.114 |
| P22 | В | 30 | 0.682 | 1 | 0.5 | 20 | 0.714 | 1 | 0.75 |
| P23 | В | _ | 0.818 | 1 | _ | _ | 0.476 | 1 | _ |
| P24 | А | 30 | 0.773 | 1 | 0.567 | 40 | 0.762 | 1 | 0.4 |
| P25 | А | - | 0.955 | 1 | - | - | 0.667 | 0.875 | - |
| P26 | В | 25 | 0 | 0 | 0 | 25 | 0.095 | 0.5 | 0.08 |
| P27 | А | 27 | 0.773 | 0.944 | 0.63 | 36 | 0.389 | 0.7 | 0.194 |
| P28 | А | 25 | 0.773 | 1 | 0.68 | 30 | 0.667 | 1 | 0.467 |
| P29 | В | 44 | 0.773 | 1 | 0.386 | 23 | 0.762 | 1 | 0.696 |
| P31 | А | - | 0.409 | 1 | - | _ | 0.286 | 0.75 | - |
| P32 | А | 30 | 0.909 | 1 | 0.667 | - | 0.5 | 1 | - |
| P33 | А | 65 | 0.818 | 1 | 0.277 | - | 0.619 | 1 | - |
| P34 | А | 50 | 0.636 | 0.933 | 0.28 | 30 | 0.4 | 0.889 | 0.267 |
| P35 | А | 10 | 0.591 | 1 | 1.3 | 10 | 0.667 | 1 | 1.4 |
| P36 | А | 13 | 1 | 1 | 1.692 | - | 0.619 | 1 | _ |

 Table A.5
 Data for design exercise

- 1. Which design has been used in the experiment?
- 2. Define the hypotheses for the evaluation.
- 3. How should the missing values in Table A.5 be treated?
- 4. Assume that parametric tests can be used. Evaluate the effect of the quality design principles on the four measured variables. Which conclusions can be drawn from the results?
- 5. Evaluate the effect of the quality design principles on the four measured variables using non-parametric tests. Which conclusions can be drawn from the results? Compare the results to those achieved when using parametric tests.
- 6. Discuss the validity of the results and if it is appropriate to use parametric tests.
- 7. The participants in the experiment are students taking a software engineering course that have volunteered to be subjects. From which population is the sample taken from? Discuss how this type of sampling will affect the external validity of the experiment? How can the sampling be made differently?

A.1.5 Inspections

This exercise refers to the example experiment in Chap. 13.

- 1. Rewrite the abstract in Chap. 13 to be a structured abstract, as defined in Chap. 11.
- 2. Conduct the scoping and planning steps for an *exact* replication of the experiment. Especially, define how many subjects should be enrolled to achieve a given level of confidence in the analysis.
- 3. Conduct the scoping step for a *differentiated* replication of the experiment. Define three different goal templates for three alternative replications. Discuss pros and cons of each alternative with respect to costs, risks and gains (see also Fig. 2.1).

A.2 Reviewing

Below is a list of questions, which are important to consider when reading or reviewing an article presenting an experiment. Use the list and review the examples presented in Chaps. 12 and 13, and also some experiment presented in the literature.

The list below should be seen as a checklist in addition to normal questions when reading an article. An example of a normal question may be; is the abstract a good description of the content of the paper? Some specific aspects to consider when reading an experiment article are:

- Is the experiment understandable and interesting in general?
- Does the experiment have any practical value?
- Are other experiments addressing the problem summarized and referenced?

A.3 Assignments

- What is the population in the experiment?
- Is the sample used representative of the population?
- Are the dependent and independent variables clearly defined?
- Are the hypotheses clearly formulated?
- Is the type of design clearly stated?
- Is the design correct?
- Is the instrumentation described properly?
- Is the validity of the experiment treated carefully and convincing?
- Are different types of validity threats addressed properly?
- Has the data been validated?
- Is the statistical power sufficient, are there enough subjects in the experiment?
- Are the appropriate statistical tests applied? Are Parametric or non-parametric tests used and are they used correctly?
- Is the significance level used appropriate?
- Is the data interpreted correctly?
- Are the conclusions correct?
- Are the results not overstated?
- Is it possible to replicate the study?
- Is data provided?
- Is it possible to use the results for performing a meta-analysis?
- Is further work and experimentation in the area outlined?

A.3 Assignments

These assignments are based on the following general scenario. A company would like to improve their way of working by changing the software process. You are consulted as an expert in evaluating new techniques and methods in relation to the existing process. The company would like to know whether or not to change their software process.

You are expected to search for appropriate literature, review the existing literature on the subject, apply the experiment process and write a report containing a recommendation for the company. The recommendation should discuss both the results of the experiment and other relevant issues for taking the decision whether or not to change the process. Other relevant issues include costs and benefits for making the change. If you are unable to find the correct costs, you are expected to make estimates. The latter may be in terms of relative costs.

The assignments are intentionally fairly open-ended to allow for interpretation and discussion. Each assignment is described in terms of prerequisites needed to perform the assignment and then the actual task is briefly described. It should be noted that the assignments below are examples of possible experiments that can be conducted. The important issue to hold in mind is that the main objective is that the assignments should provide practice in using experiments as part of an evaluation procedure. Finally, it should be noted that some organizations provide what is called lab packages that can be used to replicate experiments. Lab packages are important as they allow us to build upon work by others and hence hopefully come to more generally valid results by replication. Some lab packages can be found by a search on the Internet. It may also be beneficial to contact the original experimenter to get support and maybe also a non-published lab package.

A.3.1 Unit Test and Code Reviews

The company wants to evaluate if it is cost-effective to introduce code reviews. Unit testing is done today, although on non-reviewed code. Is this the best way to do it?

Prerequisites

- Suitable programs with defects that can be found during either reviews or testing.
- A review method, which may be ad hoc, but preferable it should be something more realistic, for example, a checklist-based approach. In this case, a checklist is needed.
- A testing method, which also may be ad hoc, but preferably it is based on, for example, usage or equivalence partitioning.

Task

• Evaluate if it is cost-effective to introduce code reviews.

A.3.2 Inspection Methods

Several different ways of conducting reviews are available. The company intends to introduce the best inspection method out of two possible choices. Which of the two methods is the best to introduce for the company?

Prerequisites

- Suitable software artifacts to review should be available.
- Two review methods with appropriate support in terms of, for example, checklists or description of different reading perspectives, see also Appendix A.1.5.

Task

• Assume that the company intends to introduce reviews of the chosen software artifacts, which method should they introduce? Determine which of the inspection methods that is best in finding defects. Is the best method also cost effective?

A.3.3 Requirements Notation

It is important to write requirements specification so that all readers interpret them easily and in the same way. The company has several different notations to choose from. Which is the best way of representing requirements?

Prerequisites

• A requirements specification written in several different notations, for example, natural language and different graphical representations.

Task

• Evaluate if it is beneficial to change the company's notation for requirements specifications. Assume that the company uses natural language today.

Appendix B Statistical Tables

This appendix contains statistical tables for a significance level of 5%. More elaborated tables can be found in most books on statistics, for example [119], and tables are also available on the Internet. The main objective here is to provide some information, so that the tests that are explained in Chap. 10 become understandable and so that the examples provided can be followed. This is important even if statistical packages are used for the calculations, since it is important to understand the underlying calculations before just applying the different statistical tests. It is also worth noting the tables are a shortcut, for example, the values for the t-test, F-test and Chi-2 can be calculated from the respective distributions.

The following statistical tables are included:

- t-test (see Sects. 10.3.4, 10.3.7, and Table B.1)
- Chi-2 (see Sect. 10.3.12 and Table B.2)
- Mann-Whitney (see Sect. 10.3.5 and Table B.3)
- Wilcoxon (see Sect. 10.3.8 and Table B.4)
- F-test (see Sects. 10.3.6, 10.3.10, Table B.5)

| Degrees of freedom | t-value |
|--------------------|---------|
| 1 | 12.706 |
| 2 | 4.303 |
| 3 | 3.182 |
| 4 | 2.776 |
| 5 | 2.571 |
| | |
| 6 | 2.447 |
| 7 | 2.365 |
| 8 | 2.306 |
| 9 | 2.262 |
| 10 | 2.228 |
| | |
| 11 | 2.201 |
| 12 | 2.179 |
| 13 | 2.160 |
| 14 | 2.145 |
| 15 | 2.131 |
| | |
| 16 | 2.120 |
| 17 | 2.110 |
| 18 | 2.101 |
| 19 | 2.093 |
| 20 | 2.086 |
| | |
| 21 | 2.080 |
| 22 | 2.074 |
| 23 | 2.069 |
| 24 | 2.064 |
| 25 | 2.060 |
| | |
| 26 | 2.056 |
| 27 | 2.052 |
| 28 | 2.048 |
| 29 | 2.045 |
| 30 | 2.042 |
| | |
| 40 | 2.021 |
| 60 | 2.000 |
| 120 | 1.980 |
| ∞ | 1.960 |

Table B.1 Critical valuestwo-tailed t-test (5%), seeSects. 10.3.4 and 10.3.7

Table B.2 Critical valuesone-tailed Chi2-test (5%), seeSect. 10.3.12

| Degrees of freedom | χ^2 |
|--------------------|----------|
| 1 | 3.84 |
| 2 | 5.99 |
| 3 | 7.81 |
| 4 | 9.49 |
| 5 | 11.07 |
| 6 | 12.59 |
| 7 | 14.07 |
| 8 | 15.51 |
| 9 | 16.92 |
| 10 | 18.31 |
| 11 | 19.68 |
| 12 | 21.03 |
| 13 | 22.36 |
| 14 | 23.68 |
| 15 | 25.00 |
| 16 | 26.30 |
| 17 | 27.59 |
| 18 | 28.87 |
| 19 | 30.14 |
| 20 | 31.41 |
| 21 | 32.67 |
| 22 | 33.92 |
| 23 | 35.17 |
| 24 | 36.42 |
| 25 | 37.65 |
| 26 | 38.88 |
| 27 | 40.11 |
| 28 | 41.34 |
| 29 | 42.56 |
| 30 | 43.77 |
| 40 | 55.76 |
| 60 | 79.08 |
| 80 | 101.88 |
| 100 | 124.34 |

| Table B.3 | Critical v | alues two | -tailed M | ann-Whitne | ey (5%), see | Sect. 10.3.5 | | |
|----------------------------|--------------|-----------|-----------|------------|--------------|------------------------|----|-----------------------|
| NB | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| N_A | | | | | | | | |
| 3 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 |
| 4 | 1 | 2 | 3 | 4 | 4 | 5 | 6 | 7 |
| 5 | 2 | 3 | 5 | 6 | 7 | 8 | 9 | 11 |
| 6 | | 5 | 6 | 8 | 10 | 11 | 13 | 14 |
| 7 | | | 8 | 10 | 12 | 14 | 16 | 18 |
| 8 | | | | 13 | 15 | 17 | 19 | 22 |
| 9 | | | | | 17 | 20 | 23 | 26 |
| 10 | | | | | | 23 | 26 | 29 |
| 11 | | | | | | | 30 | 33 |
| 12 | | | | | | | | 37 |
| Wilcoxon t Sect. 10.3.8 | test (5%), s | see | | | | 6 7 8 9 10 | | 0 2 3 5 8 |
| | | | | | | 11 | | 10 |
| | | | | | | 12 | | 13 |
| | | | | | | 13 | | 17 |
| | | | | | | 14 | | 21 |
| | | | | | | 15 | | 25 |
| | | | | | | 16 | | 29 |
| | | | | | | 17 | | 34 |
| | | | | | | 18 | | 40 |
| | | | | | | 19 | | 46 |

Please note that in Table B.3, N_A is for the smaller sample and N_B for the larger sample.

20

22

25

52

66

89

Please note that Table B.5 provides the upper 0.025% point of the F distribution with f_1 and f_2 being the degrees of freedom. This is equivalent to $F_{0.0025, f_1, f_2}$.

| Tabl | e B.5 C | ritical va | lues two- | tailed F-t | est (5%), | see Sect. | 10.3.6. I | For ANO | VA, this i | s equival | ent to a s | ignificanc | se level c | of 2.5%, | see Sec | t. 10.3.1 | 0 | |
|-------|---------|------------|-----------|------------|-----------|-----------|-----------|---------|------------|-----------|------------|------------|------------|----------|---------|-----------|-------|-------|
| f_1 | 1 | 2 | 3 | 4 | 5 | 9 | 7 | 8 | 6 | 10 | 12 | 15 | 20 | 30 | 40 | 60 | 120 | 8 |
| f_2 | | | | | | | | | | | | | | | | | | |
| _ | 648 | 800 | 864 | 900 | 922 | 937 | 948 | 957 | 963 | 696 | 777 | 985 | 993 | 1,001 | 1,006 | 1,010 | 1,014 | 1,018 |
| 0 | 38.5 | 39.0 | 39.2 | 39.2 | 39.3 | 39.3 | 39.4 | 39.4 | 39.4 | 39.4 | 39.4 | 39.4 | 39.4 | 39.5 | 39.5 | 39.5 | 39.5 | 39.5 |
| Э | 17.4 | 16.0 | 15.4 | 15.1 | 14.9 | 14.7 | 14.6 | 14.5 | 14.5 | 14.4 | 14.3 | 14.2 | 14.2 | 14.1 | 14.0 | 14.0 | 14.0 | 13.9 |
| 4 | 12.2 | 10.6 | 9.98 | 9.60 | 9.36 | 9.20 | 9.07 | 8.98 | 8.90 | 8.84 | 8.75 | 8.66 | 8.56 | 8.46 | 8.41 | 8.36 | 8.31 | 8.26 |
| 5 | 10.0 | 8.43 | 7.76 | 7.39 | 7.15 | 6.98 | 6.85 | 6.76 | 6.68 | 6.62 | 6.52 | 6.43 | 6.33 | 6.23 | 6.18 | 6.12 | 6.07 | 6.02 |
| | | | | | | | | | | | | | | | | | | |
| 9 | 8.81 | 7.26 | 6.60 | 6.23 | 5.99 | 5.82 | 5.70 | 5.60 | 5.52 | 5.46 | 5.37 | 5.27 | 5.17 | 5.07 | 5.01 | 4.96 | 4.90 | 4.85 |
| 7 | 8.07 | 6.54 | 5.89 | 5.52 | 5.29 | 5.12 | 4.99 | 4.90 | 4.82 | 4.76 | 4.67 | 4.57 | 4.47 | 4.36 | 4.31 | 4.25 | 4.20 | 4.14 |
| 8 | 7.57 | 6.06 | 5.42 | 5.05 | 4.82 | 4.65 | 4.53 | 4.43 | 4.36 | 4.30 | 4.20 | 4.10 | 4.00 | 3.89 | 3.84 | 3.78 | 3.73 | 3.67 |
| 6 | 7.21 | 5.71 | 5.08 | 4.72 | 4.48 | 4.32 | 4.20 | 4.10 | 4.03 | 3.96 | 3.87 | 3.77 | 3.67 | 3.56 | 3.51 | 3.45 | 3.39 | 3.33 |
| 10 | 6.94 | 5.46 | 4.83 | 4.47 | 4.24 | 4.07 | 3.95 | 3.85 | 3.78 | 3.72 | 3.62 | 3.52 | 3.42 | 3.31 | 3.26 | 3.20 | 3.14 | 3.08 |
| | | | | | | | | | | | | | | | | | | |
| 12 | 6.55 | 5.10 | 4.47 | 4.12 | 3.89 | 3.73 | 3.61 | 3.51 | 3.44 | 3.37 | 3.28 | 3.18 | 3.07 | 2.96 | 2.91 | 2.85 | 2.79 | 2.72 |
| 15 | 6.20 | 4.76 | 4.15 | 3.80 | 3.58 | 3.41 | 3.29 | 3.20 | 3.12 | 3.06 | 2.96 | 2.86 | 2.76 | 2.64 | 2.59 | 2.52 | 2.46 | 2.40 |
| 20 | 5.87 | 4.46 | 3.86 | 3.51 | 3.29 | 3.13 | 3.01 | 2.91 | 2.84 | 2.77 | 2.68 | 2.57 | 2.46 | 2.35 | 2.29 | 2.22 | 2.16 | 2.09 |
| 30 | 5.57 | 4.18 | 3.59 | 3.25 | 3.03 | 2.87 | 2.75 | 2.65 | 2.57 | 2.51 | 2.41 | 2.31 | 2.20 | 2.07 | 2.01 | 1.94 | 1.87 | 1.79 |
| 40 | 5.42 | 4.05 | 3.46 | 3.13 | 2.90 | 2.74 | 2.62 | 2.53 | 2.45 | 2.39 | 2.29 | 2.18 | 2.07 | 1.94 | 1.88 | 1.80 | 1.72 | 1.64 |
| | | | | | | | | | | | | | | | | | | |
| 60 | 5.29 | 3.93 | 3.34 | 3.01 | 2.79 | 2.63 | 2.51 | 2.41 | 2.33 | 2.27 | 2.17 | 2.06 | 1.94 | 1.82 | 1.74 | 1.67 | 1.58 | 1.48 |
| 120 | 5.15 | 3.80 | 3.23 | 2.89 | 2.67 | 2.52 | 2.39 | 2.30 | 2.22 | 2.16 | 2.05 | 1.94 | 1.82 | 1.69 | 1.61 | 1.53 | 1.43 | 1.31 |
| 8 | 5.02 | 3.69 | 3.12 | 2.79 | 2.57 | 2.41 | 2.29 | 2.19 | 2.11 | 2.05 | 1.94 | 1.83 | 1.71 | 1.57 | 1.48 | 1.39 | 1.27 | 1.00 |

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Index

Absolute scale, 39 Admissible transformation, 38 Alternative hypothesis, 91 Analysis and interpretation, 80, 123 ANalysis Of VAriance (ANOVA), 97, 98, 172 One factor, more than two treatments, 143 Analytical method, 6 Anonymity data, 35 participation, 35 Applied research, 111 Assumptions of statistical tests, 104, 135 Average, 124

Balancing, 95 Binomial test, 133, 138 Blocking, 94 Box plot, 129, 131, 169

Capitalization cycle, 27 Case study, 10, 14, 55 data analysis, 65 data collection, 61 planning, 58 process, 58 protocol, 60 reporting, 69 Casual relation, 149 Central tendency, 124 Chi-2, 130, 135 goodness of fit, 147 k independent samples, 146 Cluster analysis, 128 Coefficient of varation, 126 Company baseline, 15

Completely randomized design, 95, 97 Conclusion validity, 104 Confidentiality, 34 Confounding effects, 15 Confounding factors, 15, 149 Consent, 118 Construct validity, 108 Context, 11, 86, 89 case study, 56 in vitro, 25 in vivo, 25 Control cycle, 27 Convenience sampling, 93 Correlation coefficient, 128 Covariance, 128 Crossover design, 96, 151 Cumulative histogram, 130

Data

analysis, 65 collection, 61, 120 reduction, 131 validation, 121, 131 Dependency, 127 Dependent variable, 92 Descriptive statistics, 123 Descriptive synthesis, 50 Design completely randomized, 95, 97 crossover, 96, 151 2*2 factorial, 98 2^k factorial, 99 2^k fractional factorial, 99 hierarchical, 98 nested, 98 paired comparison, 96

randomized complete block, 97 tests for, 136 two-stage nested, 98 Design principles, 94 Design threats, 108 Disclosure, 119 Discriminant analysis, 128 Dispersion, 126

Effect size, 38 Empirical methods, 6, 18 Engineering method, 6 Ethical Review Board, 34 Ethics, 33, 118 Execution control, 18 Exercises, xiv, 203 Expectation experimenter, 35, 110 stochastic variable, 124 Experience base, 27 Experience Factory, 24, 27 Experience models, 27 Experiment, 11, 16, 73 design, 75, 93 human-oriented, 16, 76 off-line, 16, 90 on-line, 16, 90 process, 76 reporting, 153 technology-oriented, 16, 76 External attribute, 41 External validity, 110

2*2 factorial design, 98 2^k factorial design, 99 2^k fractional factorial design, 99 F-test, 140 Factor, 75, 95 Factor analysis, 132 Factorial design, 98 Fixed design, 9, 76 Flexible design, 9, 76 Forest plot, 50 Fractional factorial design, 99 Frequency, 126

Goal/Question/Metric (GQM) method, 24, 85 Goodness of fit, 145 GQM. *See* Goal/Question/Metric method Graphical visualization, 128 Hierarchical design, 98 Histogram, 130 Hypothesis, 73, 91 Hypothesis testing, 132

Independent variable, 92 Inducements, 119 Informed consent, 33, 34 Instrumentation, 101, 107, 119 Internal attribute, 41 Internal validity, 106 Interval scale, 39 Interviewer, 14 Interviews, 13 Investigation cost, 18

Kendall rank-order correlation coefficient, 128 Kruskal-Wallis, 97, 144

Linear regression, 127 Longitudinal study, 19

Mann-Whitney, 96, 139 Mapping studies, 23, 52 Mean arithmetic, 124 geometric, 125 Meaningful statement, 38 Meaningless statement, 38 Measure, 37 direct, 41 indirect, 41 objective, 40 subjective, 40 valid, 38 Measurement, 37 Measurement control, 18 Median, 124 Meta-analysis, 23, 48 Metrics, 37 Mode, 125 Mortality, 107 Multiple groups threats, 107 Multivariate statistical analysis, 73, 128

Narrative synthesis. *See* Descriptive synthesis Nested design, 98 Nominal scale, 39 Non-parametric tests, 135 Index

Non-probability sample, 93 Normal distribution, 130 Normality, 130, 135, 146 Null hypothesis, 91, 132

Object, 75 Object of study, 85 Operation, 80 Ordinal scale, 39 Outliers, 123, 129–131, 170

Paired comparison design, 96 Parametric tests, 135 Pearson correlation coefficient, 128 Percentile, 125 Personal Software Process (PSP), 161 Perspective, 86 Pie chart, 130 Planning, 58, 78, 89 Population, 92 Power, 92, 104, 134, 136 Presentation and package, 80 Principal component analysis (PCA), 128, 132 Probability sample, 93 Process, 41 Product, 41 PSP. See Personal Software Process (PSP) Publication bias, 47 Purpose, 85

Qualitative research, 9 Quantitative research, 9 Quality focus, 85 Quality Improvement Paradigm, 24, 26 Quasi-experiment, 8, 11, 73, 86, 174 Questionnaires, 13 Quota sampling, 93

Random sampling, 93 Randomization, 94 Randomized complete block design, 97 Range, 126 Ratio scale, 40 Relative frequency, 126 Reliability, 105 Replication, 18 close, 20 differentiated, 20 Rescaling, 38 Resources, 41 Sampling convenience, 93 non-probability, 93 probability, 93 quota, 93 random, 93 stratified random, 93 systematic, 93 Scale, 38 Scale type, 39 Scatter plot, 128 Scientific method, 6 Scoping, 78, 85 Scoping studies. See Mapping studies Selection of subjects, 92, 107 interactions, 107 Sensitive results, 118 Sign test, 96, 142 Simulation, 5 Single group threats, 106 Snowballing, 23, 47 Social threats, 108, 109 Spearman rank-order correlation coefficient, 128 Standard deviation, 126 Statistical regression, 107 Statistical test one-sided, 133 two-sided, 133 Stratified random sampling, 93 Subjects, 75, 92 inducement, 35 students, 35, 90, 174 Survey, 10, 12 descriptive, 13 explanatory, 13 explorative, 13 Synthesis, 22 descriptive, 50 Systematic literature reviews, 22, 45

t-test, 96, 138 paired, 96, 141 Technology transfer, 30 Test, 76, 94 Theory software engineering, 21 testing, 111 Threats to validity, 102 design, 108 multiple group, 107 priorities, 111

Systematic sampling, 93

single group, 106 social, 108, 109 Transformation, 38, 127 Treatment, 75, 95 Two-stage nested design, 98 Type-I-error, 91 Type-II-error, 91

Validity, 68, 102, 104, 111 conclusion, 104 construct, 108 external, 110 internal, 106 Variable, 74, 92 dependent, 74, 92 independent, 74, 92 response, 74 Variance, 126 Variation interval, 126

Whiskers, 129, 169 Wilcoxon, 96, 142